



The Commonwealth of Massachusetts
William Francis Galvin

Minimum Fee: \$100.00

Secretary of the Commonwealth
One Ashburton Place, Boston, Massachusetts 02108-1512
Telephone: (617) 727-9640

Annual Report

(General Laws, Chapter 156D)

Federal Employer Identification Number: 840965407 (must be 9 digits)

1. The exact name of the business entity is: GWFS EQUITIES, INC.

2. The Corporation is organized under the laws of: State: DE Country: USA

3,4. The street address of the corporation registered office in the commonwealth and the name of the registered agent at that office:

Name: C T CORPORATION SYSTEM

No. and Street: 101 FEDERAL STREET

City or Town: BOSTON

State: MA

Zip: 02110

Country: USA

5. The street address of the corporation's principal office is:

No. and Street: 8515 E. ORCHARD RD.

City or Town: GREENWOOD VILLAGE

State: CO

Zip: 80111

Country: USA

6. Provide the name and business street address of the officers and of all the directors of the corporation:

(A president, treasurer, secretary and at least one director are required.)

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
PRESIDENT	CHARLES NELSON	
TREASURER	GLEN R. DERBACK	
SECRETARY	BEVERLY A. BYRNE	
VICE PRESIDENT	KENT A MORRIS	2937 E. HILLSIDE DR. W. COVINA, CA 91791 USA
VICE PRESIDENT	GREGORY E. SELLER	
VICE PRESIDENT	WILLIAM S HARMON	7050 S PICADILLY ST. AURORA, CO 80016 USA
ASSISTANT VP	DENNIS COHEN	8515 E ORCHARD RD GREENWOOD VILLAGE, CO 80111 USA
INVESTMENTS COMPLIANCE OFFICER	MARY MAIERS	9802 S. WALLACE CT. HIGHLANDS RANCH , CO 80126 USA
VICE PRESIDENT	TOM M CONNOLLY	8 OLD QUARRY RD CEDAR GROVE , NJ 07009 USA
VICE PRESIDENT	MICHAEL SOLE	1638 E. CATALPA CHICAGO, IL 60640 USA
COMPLIANCE OFFICER	TERESA L BUCKLEY	9400 E. ILLIFF AVE. #396 DENVER, CO 80231 USA
DIRECTOR	GREGORY E. SELLER	26 PIENZA LAGUNA NIGUEL, CA 92677 USA
DIRECTOR	GRAHAM MCDONALD	4578 E LINKS PKWY LITTLETON, CO 80122 USA
DIRECTOR	ROBERT K. SHAW	
DIRECTOR	CHARLES NELSON	1187 E JESSE COURT HIGHLANDS RANCH, CO 80126 USA

7. Briefly describe the business of the corporation:

INVESTMENT ADVISOR

8. The capital stock of each class and series is:

Class of Stock	Par Value Per Share Enter 0 if no Par	Total Authorized by Articles of Organization or Amendments		Total Issued and Outstanding
		<i>Num of Shares</i>	<i>Total Par Value</i>	
CNP	\$0.00000	10,000	\$0.00	4,000

9. Check here if the stock of corporation is publicly traded: ☐

10. Date of the end of the fiscal year is: 12/31/ 2005

Signed by BEVERLY A. BYRNE , its OTHER OFFICER
on this 1 Day of February, 2006

